

INTRODUCER ACCREDITATION FORM



Liberty Financial Limited
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Company no. 1167 580
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To: Liberty Financial – Underwriting Department
Fax number: (0800) 388 188
From:
Company:
Phone:
Facsimile:
Sent on behalf of:
Date & pages:

APPLICATION CHECKLIST & NOTES

- Application checklist
(attach the following to support this application)
- Corporate Profile including staff numbers and office locations
 - Resumes of Principals and Officers including qualifications and backgrounds
 - Details of all licences held (e.g. brokers licence, business licences, business names, etc.)
 - Copy of Certificate of Incorporation, Memorandum and Articles of Association or Trust Deeds
 - Copy of Professional Indemnity policy (minimum cover required is \$1million for any one claim)
 - Confirmation for any staff member who has successfully completed industry sponsored training
 - Full details of any memberships, associations or registrations that are relevant to the industry
 - Written explanation if the company or any of its officers had judgments or serious convictions ordered against them, been bankrupt, entered into arrangements with creditors or have pending litigation

APPLICANT INFORMATION

Name of entity		
Trust details (if any)	Name of Trust:	Name of Trustee:	
Holding entity (if any)	Name of holding company:		
Registration	CPY No:	Date of incorporation:	
Office address	Street:		
	Suburb:	Town/City:	
Entity type	<input type="checkbox"/> Sole Trader	<input type="checkbox"/> Partnership	<input type="checkbox"/> Company
Introducer type	<input type="checkbox"/> Financial Broker	<input type="checkbox"/> Solicitor	<input type="checkbox"/> Accountant
	<input type="checkbox"/> Financial Planner	<input type="checkbox"/> Real Estate	<input type="checkbox"/> Other
Other financial services offered	<input type="checkbox"/> Motor Finance	<input type="checkbox"/> Commercial Property	<input type="checkbox"/> Private Funds
	<input type="checkbox"/> Funds Management	<input type="checkbox"/> Insurance	<input type="checkbox"/> Other
Memberships	Please list any memberships or associations:		
Staff Training	Please list any regular training programs:		

