

SECURED DEPOSIT INVESTMENT APPLICATION

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INVESTOR DETAILS

INVESTOR 1

INVESTOR 2

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr
First name	<input type="text"/>	
Surname	<input type="text"/>	
Date of birth	<input type="text"/>	
Company/Society/Trust	<input type="text"/>	
Trustee/Directors name	<input type="text"/>	
Contact information	Phone: <input type="text"/> Mobile: <input type="text"/>	Phone: <input type="text"/> Mobile: <input type="text"/>
	Email: <input type="text"/>	Email: <input type="text"/>
Postal address	Street: <input type="text"/>	Street: <input type="text"/>
	Suburb: <input type="text"/>	Suburb: <input type="text"/>
	Pcode: <input type="text"/> City: <input type="text"/>	Pcode: <input type="text"/> City: <input type="text"/>
Security question	This information may be used to provide an additional level of security when requesting information on your account by phone.	Hint: <input type="text"/>
		Password: <input type="text"/>
IRD Number Investor	<input type="text"/>	
Tax rate (please tick withholding tax rate)	Resident <input type="checkbox"/> 10.5% <input type="checkbox"/> 17.5% <input type="checkbox"/> 28% <input type="checkbox"/> 30% <input type="checkbox"/> 33% <input type="checkbox"/> Exempt	
	Non-resident <input type="checkbox"/> AIL2% <input type="checkbox"/> NRWT15%	

Please Note: If your IRD number and/or election is not indicated, your investment will be taxed at the highest marginal tax rate which depends on the address provided by you. If exempt from resident withholding tax, please attach a copy of a Certificate of Exemption.

INVESTMENT INFORMATION

1. Choose the term and the amount you wish to invest.*

INVESTMENT TERM	\$5,000 < \$19,999		\$20,000+	
	INTEREST RATE p.a.	AMOUNT	INTEREST RATE p.a.	AMOUNT
3 Months	5.25%	\$ <input type="text"/>	5.35%	\$ <input type="text"/>
6 Months	5.95%	\$ <input type="text"/>	6.05%	\$ <input type="text"/>
9 Months	6.35%	\$ <input type="text"/>	6.45%	\$ <input type="text"/>
12 Months	6.80%	\$ <input type="text"/>	6.90%	\$ <input type="text"/>
18 Months	7.05%	\$ <input type="text"/>	7.15%	\$ <input type="text"/>
24 Months	7.35%	\$ <input type="text"/>	7.45%	\$ <input type="text"/>
36 Months	8.00%	\$ <input type="text"/>	8.10%	\$ <input type="text"/>
48 Months	8.80%	\$ <input type="text"/>	8.90%	\$ <input type="text"/>
60 Months	9.20%	\$ <input type="text"/>	9.30%	\$ <input type="text"/>

2. Choose the interest payment option.

Interest payment options (please tick) compound net interest quarterly; or pay net interest quarterly to the bank account detailed below

3. Please supply your bank account details.

Details of the nominated bank account into which all payments are to be made.

Account name:

Bank:

Branch:

Number:

Bank Branch Account number Suffix
(Please attach pre-printed deposit slip)

*Liberty Financial Limited reserves the right to vary all or any of the above rates or terms at any time without notice. Such new rates shall not affect applications lodged before the date of variation.

IDENTIFICATION REQUIREMENTS

The Financial Transactions Reporting Act 1996 and the Terrorism Suppression Act 2002 place responsibilities on all financial institutions to identify their investors. New investors are therefore required to provide identification as follows:

- | Individuals | Company/Club/Society/Trust |
|--|---|
| <ul style="list-style-type: none">★ A pre-printed cheque or bank deposit slip in your name/s; and★ A copy of your passport or driver's licence or another form of identification deemed appropriate by Liberty Financial. | <ul style="list-style-type: none">★ A pre-printed cheque or bank deposit slip in the name of your organisation; and★ A certified copy of your Certificate of Incorporation or Trust Deed as applicable.★ Personal identification such as a copy of passport or drivers licence for all directors/partners/individual trustees. |

INVESTMENT ADVISOR

Do you have an investment adviser or broker for this investment? Yes No

If yes, please supply their name and/or stamp here.

ACKNOWLEDGEMENTS AND DECLARATIONS

- I/We acknowledge that, at the expiry of the initial term and any subsequent reinvestment term, my/our investment will be reinvested for the same term (at the relevant interest rate specified in the rate card accompanying the maturity advice) and interest payments will continue on the same basis unless, following receipt of any maturity advice and, prior to maturity date, I/we provide alternate reinvestment and/or repayment instructions.
- I/We acknowledge that under the Financial Transactions Reporting Act 1996 and the Suppression of Terrorism Act 2002, any new investors with Liberty Financial Limited may be required to produce evidence of their identity. I/We further agree to provide Liberty Financial Limited with any information it may require under the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 upon request.
- If the applicant is a trust, the applicant hereby declares that this application has been duly authorised by all of the trustees of the trust and the applicant(s) are duly authorised to sign the application on behalf of all the trustees.
- In the case of joint applications, the joint applicants agree that unless otherwise expressly indicated in this application, the Secured Deposit will be held jointly as joint tenants. Joint applications are to be signed by all applicants.
- If an attorney signs this application, the attorney hereby declares that he/she has not received any notice of revocation of the power under the authority of which this application is signed. If signed by an attorney, please attach power of attorney and certificate of non-revocation of power of attorney.
- I/We understand that Liberty Financial Limited will provide information to the financial adviser whose stamp appears on this application.
- I/We agree that, if I/we have selected the ALL box, Liberty Financial Limited may deduct the cost of the Approved Issuer Levy from amounts payable to me/us on registered securities held in my/our name.

SIGNATURES

I/We hereby apply for a Secured Deposit as set out overleaf and upon the terms and conditions contained in the Prospectus of Liberty Financial Limited dated 4 October 2011 and the Investment Statement dated 4 October 2011 and enclose payment in full for the amount described in the Investment Information section above (**all cheques should be crossed NOT TRANSFERABLE and made payable to Liberty Financial Limited**). I/We confirm that I/we have received and read the Prospectus of Liberty Financial Limited dated 4 October 2011 and the Investment Statement dated 4 October 2011.

<input type="text"/>	<input type="text"/>
Investor 1: Name	Investor 2: Name
<input type="text"/>	<input type="text"/>
Investor 1: Signature	Investor 2: Signature
<input type="text"/>	<input type="text"/>
Date	Date

HOW TO INVEST

PLEASE COMPLETE THIS APPLICATION AS FOLLOWS:

- ★ List your full name and address in BLOCK letters.
- ★ Show the amount to be invested, the term, and interest rate you have chosen.
- ★ Nominate whether you require your interest to be:
(a) paid quarterly by direct credit to your nominated bank account; or
(b) retained and added to principal (compounded).
- ★ Sign and date the application. In the case of a joint application all persons must sign the application.
- ★ Include payment which can be made by cheque or direct credit. If using direct credit, please make payment to Liberty Financial Limited's BNZ account, 020100-0622608-002 and reference your surname and initials. No cash payments will be accepted.

TERM AND INTEREST RATE

For details of the investment terms and interest rates on offer refer to the rate accompanying this application. Liberty Financial Limited reserves the right to vary all or any of the interest rates and investment terms without notice. Such new rates shall not affect applications lodged with Liberty Financial Limited before the date of variation.

QUARTERLY INTEREST

Interest is paid by direct credit, or compounded and added to principal, on 31 March, 30 June, 30 September and 31 December (or the next business day).

OFFICE USE ONLY

<input type="text"/>	<input type="text"/>	<input type="text"/>
Certificate No:	Start date:	Marketing code:
<input type="text"/>	<input type="text"/>	<input type="text"/>
		Maturity date: